

1204-01
EL844051762

PTO/SB/30 (08-00)

OMB 0651-0034

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

12/21/01

Harrison

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/388,857
Filing Date	September 1, 1999
First Named Inventor	Luan Tran C.
Group Art Unit	2813
Examiner Name	L. Schillinger
Attorney Docket Number	MI22-878

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Prev. submitted SIDS but not initialed.

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

- The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, Deposit Account No. _____
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e) 12/05/2001 AWONDAF1 00000113 09388857
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 01 FC:179 740.00 DP
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$740.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

RECEIVED
OCT 5 2001
TO 2038 MAIL ROOM

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Frederick M. Fliegel, Ph.D.	Registration No. (Attorney/Agent)	36,138
Signature		Date	October 31, 2001

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Nicole Nielsen	Date	October 31, 2001
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.



#11/C
12/7/01
Harrison

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No. 09/388,857
Priority Filing Date September 1, 1999
Inventor Luan C. Tran
Assignee Micron Technology, Inc.
Priority Group Art Unit 2813
Priority Examiner L. Schillinger
Attorney's Docket No. MI22-878
Title: Semiconductor Processing Methods Of Forming Transistors,
Semiconductor Processing Methods Of Forming Dynamic Random
Access Memory Circuitry, And Related Integrated Circuitry

RESPONSE TO JULY 31, 2001 FINAL OFFICE ACTION
PRELIMINARY AMENDMENT TO ACCOMPANY RCE FILING

To: BOX RCE
Assistant Commissioner for Patents
Washington, D.C. 20231

From: Frederick M. Fliegel, Ph.D.
(Tel. 509-624-4276; Fax 509-838-3424)
Wells, St. John, Roberts, Gregory & Matkin P.S.
601 West First Avenue, Suite 1300
Spokane, WA 99201-3828

RECEIVED
DEC - 5 2001
16-2600 MAIL ROOM

Responsive to the Final Office Action dated July 31, 2001, Applicant
amends and remarks as follows:

AMENDMENTS